

UNIT-2

CHAPTER-4

BINOMIAL HEAPS

A Binomial heap is a collection of Binomial trees. A

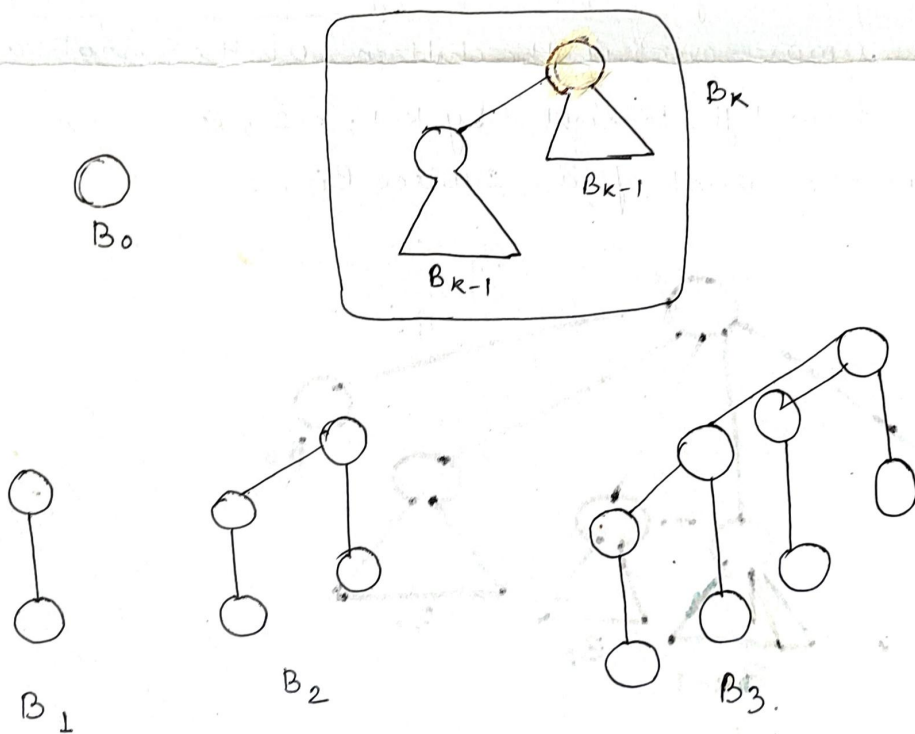
Binomial tree B_k is an ordered tree defined recursively:-

(i) The Binomial tree B_0 consists of a single node

(ii) For $k \geq 1$, the Binomial tree B_k consists of two

Binomial trees B_{k-1} that are linked together:

the root of one is the left most child of the root of the other.



In terms of depth it is clear that

$$B_0 \text{ has nodes} = 2^{\text{depth}} = 2^0 = 1 \text{ node}$$

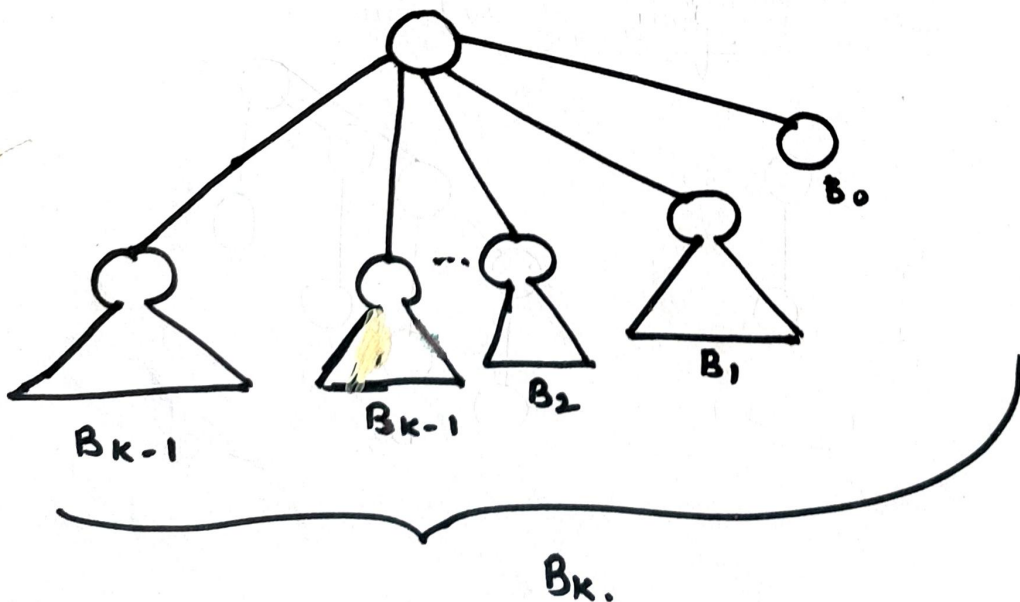
$$B_1 \text{ has nodes} = 2^1 = 2 \text{ nodes}$$

$$B_2 \text{ has nodes} = 2^2 = 4 \text{ nodes.}$$

Properties of Binomial Trees

For the binomial tree B_k .

1. There are 2^k nodes.
2. The height of the tree is k .
3. There are exactly nodes $\binom{k}{i}$ at depth i for $i = 0, 1, \dots, k$.
4. The root has degree k , which is greater than that of any other node; moreover if the children of the root are numbered from left to right by $k-1, k-2, \dots, 0$ child i is the root of a subtree B_i .



Binomial Heaps

A Binomial heap H is a set of binomial trees that satisfies the following binomial-heap properties:-

1. Each binomial tree in H obeys the min-heap property:- the key of a node is greater than or equal to the key of its parent.
2. For non negative integer k , there is at most one binomial tree in H whose root has degree k .
3. Binomial trees will be joined by a linked list of roots.

(a) The first property implies that the root of each binomial tree contains the smallest element in that tree.

(b) The second property implies that, There can be at most $\lceil \log n \rceil + 1$ binomial trees in a binomial heap with n nodes.

Representation of Binomial Heaps

operation
find

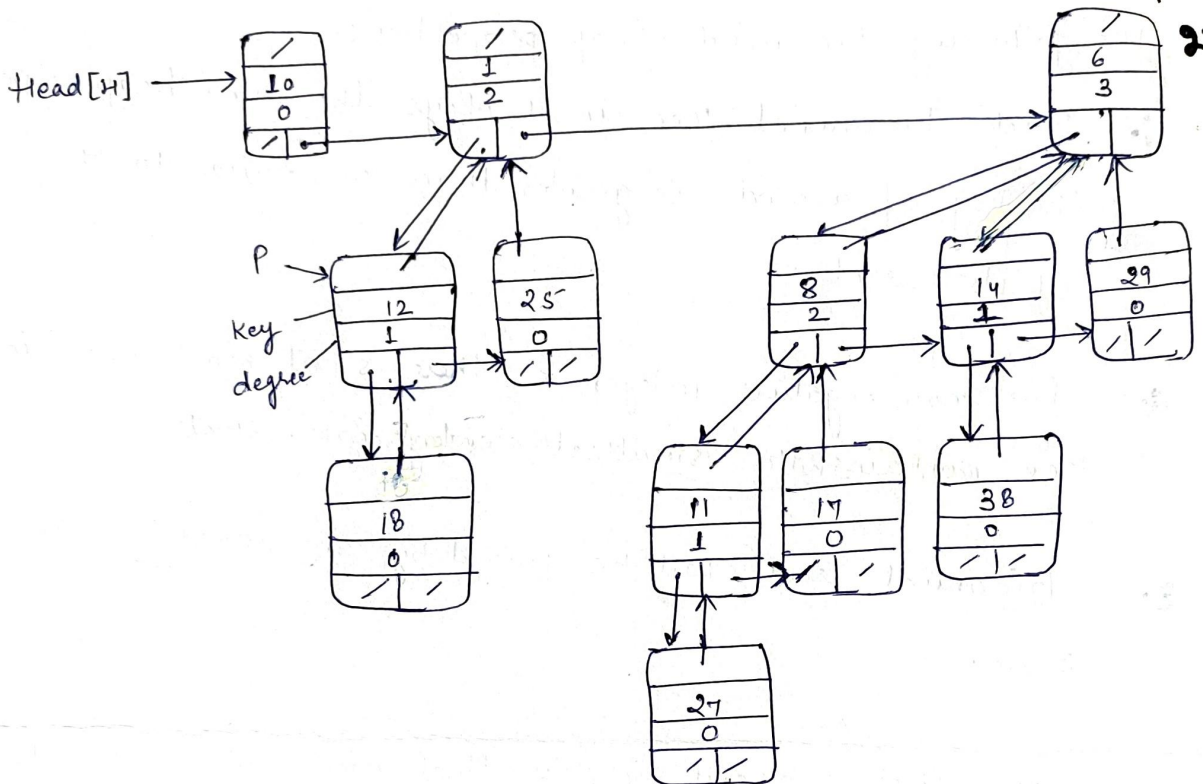


Figure:-

A Binomial Heap with $n=13$ nodes.

(a) The Heap consists of Binomial trees B_0, B_1, B_2, B_3 which have 1, 2, 4, and 8 nodes respectively. Since each binomial tree is min-heap-ordered, the key of any node is no less than the key of its parent. Also shown is the root list, which is a linked list of roots in order of increasing degree.

(b) In Binomial heap H , each binomial tree is stored in the left child, right sibling representation & each node stores its degree.

Operations on Binomial Heaps :- Firstly create a Binomial heap after that the following operations are performed.

1. Finding the minimum key
2. Union of two binomial heaps
3. Inserting a node
4. Extracting a node with minimum key
5. Decrease a key.

Creating a new binomial heap

To make an empty binomial heap, the MAKE-BINOMIAL-HEAP procedure simply allocates and returns an object H , where $\text{head}[H] = \text{NIL}$. The running time is $\Theta(1)$

Finding the minimum key

The procedure BINOMIAL-HEAP-MINIMUM returns a pointer to the node with the minimum key in an n -node binomial heap H .

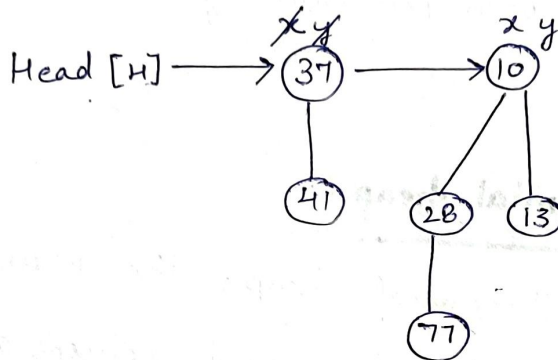
BINOMIAL-HEAP-MINIMUM(H)

1. $y \leftarrow \text{NIL}$
2. $x \leftarrow \text{head}[H]$
3. $\text{min} \leftarrow \infty$
4. while $x \neq \text{NIL}$
5. do if $\text{key}[x] < \text{min}$
6. then $\text{min} \leftarrow \text{key}[x]$
7. $y \leftarrow x$
8. $x \leftarrow \text{sibling}[x]$
9. return y

Analysis of Binomial-Heap-minimum (H)

There are at most $\lfloor \lg n \rfloor + 1$ roots to check, the running time of BINOMIAL-HEAP-MINIMUM is $O(\lg n)$.

Example



$y \leftarrow \text{NIL}$

$x \leftarrow \text{HEAD}[H]$

$\text{min} \leftarrow \infty$

while $x \neq \text{NIL}$ (TRUE)

if $\text{key}[x] < \text{min}$

$37 < \infty$ (True)

$\text{min} \leftarrow \text{key}[x]$

$\text{min} \leftarrow 37$

$y \leftarrow x$

$x \leftarrow \text{sibling}[x]$

while $x \neq \text{NIL}$ (True)

if $\text{key}[x] < \text{min}$

$10 < 37$ (True)

$\text{min} \leftarrow 10$

$y \leftarrow x$

$x \leftarrow \text{sibling}[x]$

while $\text{nil} \neq \text{nil}$ (false)

return min 10

Proved

Union of Two Binomial Heaps

BINOMIAL-HEAP-UNION (H_1, H_2)

1. $H \leftarrow \text{MAKE-BINOMIAL-HEAP}()$
2. $\text{head}[H] \leftarrow \text{BINOMIAL-HEAP-MERGE}(H_1, H_2)$
3. If $\text{head}[H] = \text{NIL}$
4. return H
5. $\text{prev-x} \leftarrow \text{NIL}$
6. $x \leftarrow \text{head}[H]$
7. $\text{next-x} \leftarrow \text{sibling}[x]$
8. while $\text{next-x} \neq \text{NIL}$
9. do if ($\text{degree}[x] \neq \text{degree}[\text{next-x}]$) or
 ($\text{sibling}[\text{next-x}] \neq \text{NIL}$ and $\text{degree}[\text{sibling}[\text{next-x}]] = \text{degree}[x]$)
10. then $\text{prev-x} \leftarrow x$
 $x \leftarrow \text{next-x}$
11. else if $\text{key}[x] \leq \text{key}[\text{next-x}]$
12. then $\text{sibling}[x] \leftarrow \text{sibling}[\text{next-x}]$
 $\text{BINOMIAL-LINK}(\text{next-x}, x)$
13. else if $\text{prev-x} = \text{NIL}$
14. then $\text{head}[H] \leftarrow \text{next-x}$
15. else $\text{sibling}[\text{prev-x}] \leftarrow \text{next-x}$
 $\text{BINOMIAL-LINK}(x, \text{next-x})$
16. $x \leftarrow \text{next-x}$
17. $\text{next-x} \leftarrow \text{sibling}[x]$
18. $\text{next-x} \leftarrow \text{sibling}[x]$
19. $\text{next-x} \leftarrow \text{sibling}[x]$
20. return H

Binomial-Heap-Merge (H_1, H_2)

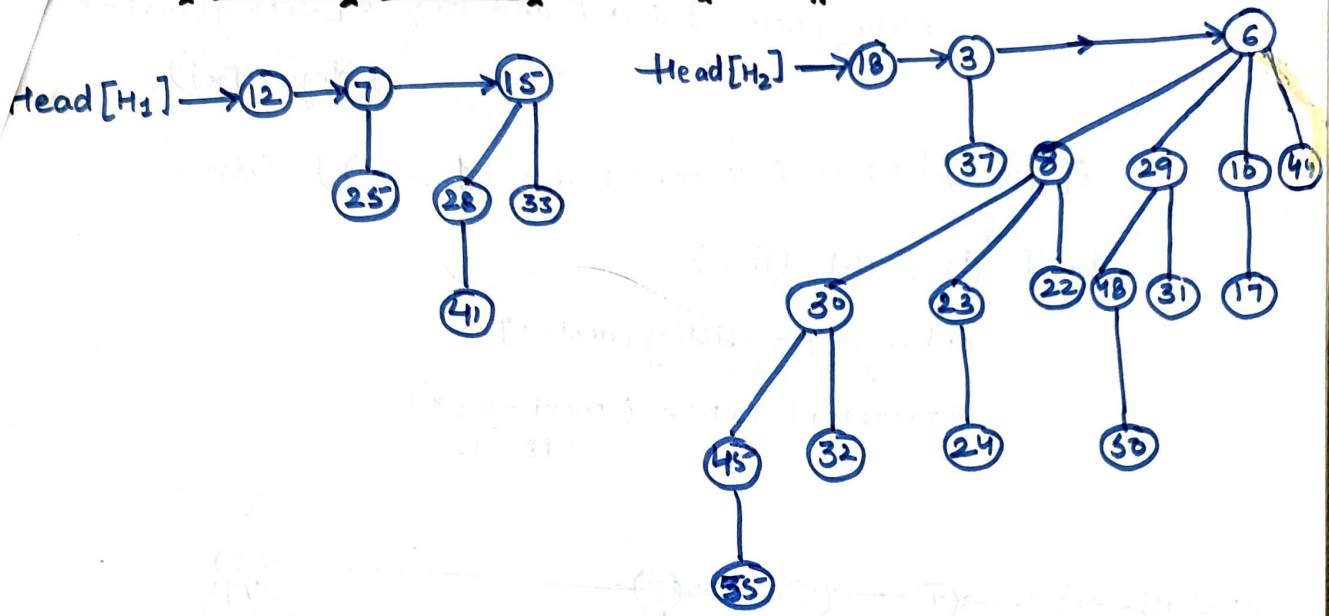
1. $a \leftarrow \text{head}[H_1]$
2. $b \leftarrow \text{head}[H_2]$
3. $\text{head}[H_1] \leftarrow \text{Min-Degree}(a, b)$
4. if $\text{head}[H_1] = \text{NIL}$
5. return
6. if $\text{head}[H_1] = b$
7. then $b \leftarrow a$
8. $a \leftarrow \text{head}[H_1]$
9. while $b \neq \text{NIL}$
10. do if $\text{sibling}[a] = \text{NIL}$
11. then $\text{sibling}[a] \leftarrow b$
12. return
13. else if $\text{degree}[\text{sibling}[a]] < \text{degree}[b]$
14. then $a \leftarrow \text{sibling}[a]$
15. else $c \leftarrow \text{sibling}[b]$
16. $\text{sibling}[b] \leftarrow \text{sibling}[a]$
17. $\text{sibling}[a] \leftarrow b$
18. $a \leftarrow \text{sibling}[a]$
19. $b \leftarrow c$

Analysis of Binomial-Heap-Union()

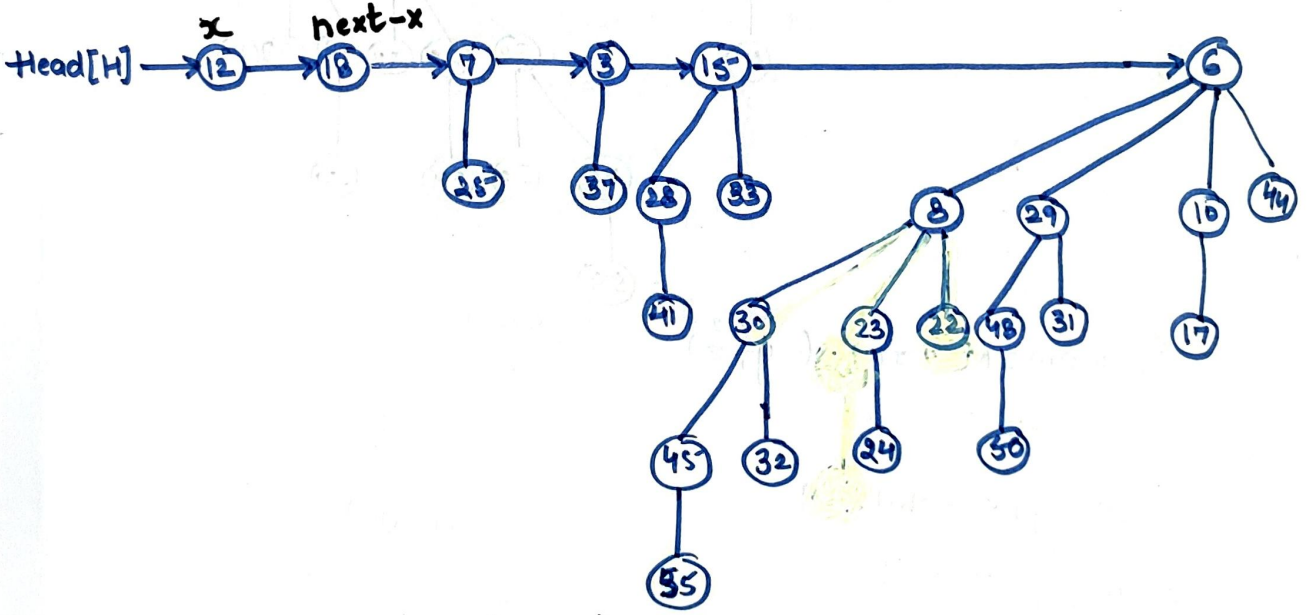
The running time of BINOMIAL-HEAP-UNION is $O(\lg n)$, where n is the total number of nodes in binomial heaps

H_1 and H_2

Example of Binomial Heap-Union



Binomial-Heap-Merge()

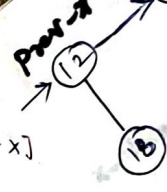


```

if Head[H] = NIL (false)
    return
prev ← x ← NIL
x ← head[H]
next-x ← sibling[x]
while next-x ≠ NIL
    18 ≠ NIL (True)

```

if (degree[x] \neq degree[next-x]) or
 (sibling[next-x] \neq NIL and degree[sibling[next-x]]
 = degree[x])



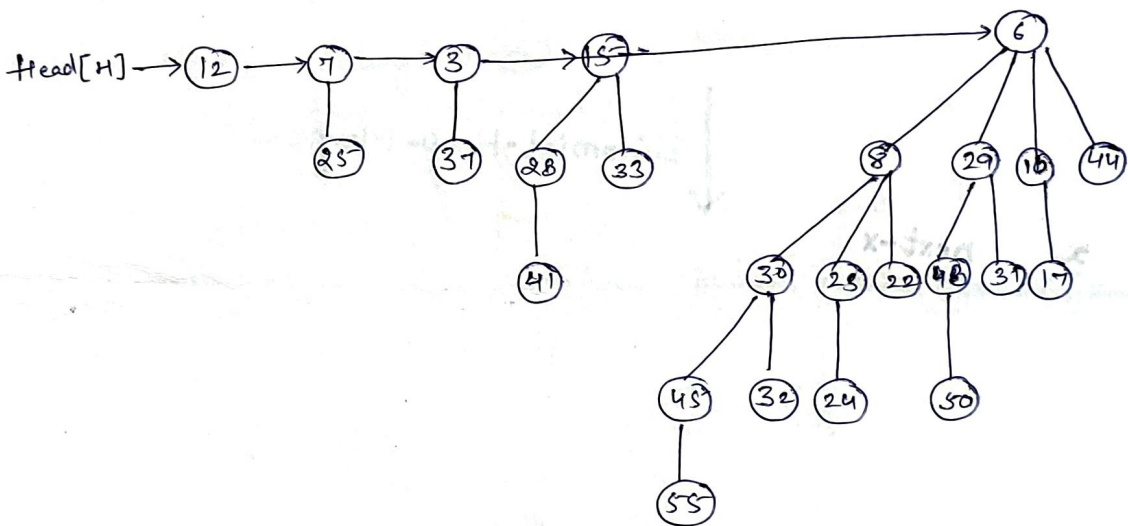
if (0 \neq 0) or (7 \neq NIL && (d = 0)) false.

else if (12 \leq 18) (True)

sibling[x] \leftarrow sibling[next-x]

\leftarrow 7

BINOMIAL LINK (next-x, x)
 (18, 12)



BINOMIAL LINK ($\frac{18, 12}{y, z}$)

P[y] \leftarrow z

sibling[y] \leftarrow child[z]

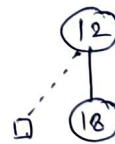
sibling[y] \leftarrow nil

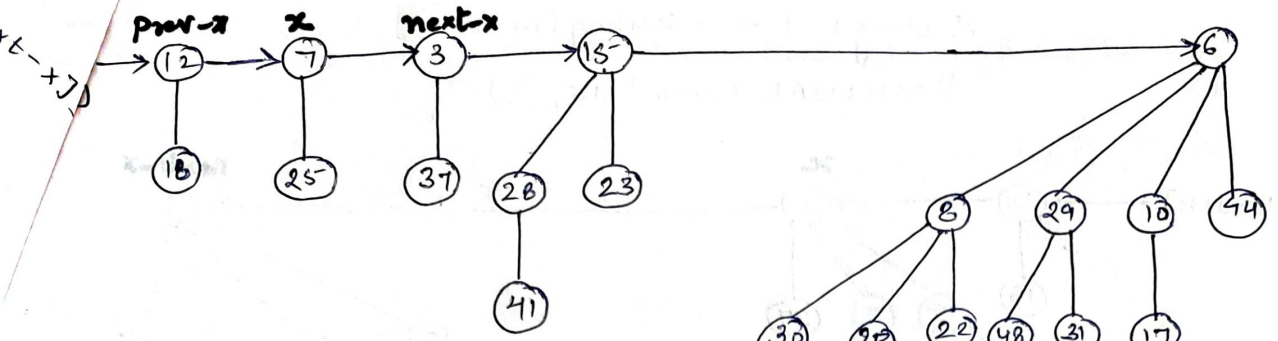
child[z] \leftarrow y.

degree[z] \leftarrow degree[z] + 1

degree[z] \leftarrow 0 + 1

\leftarrow 1.



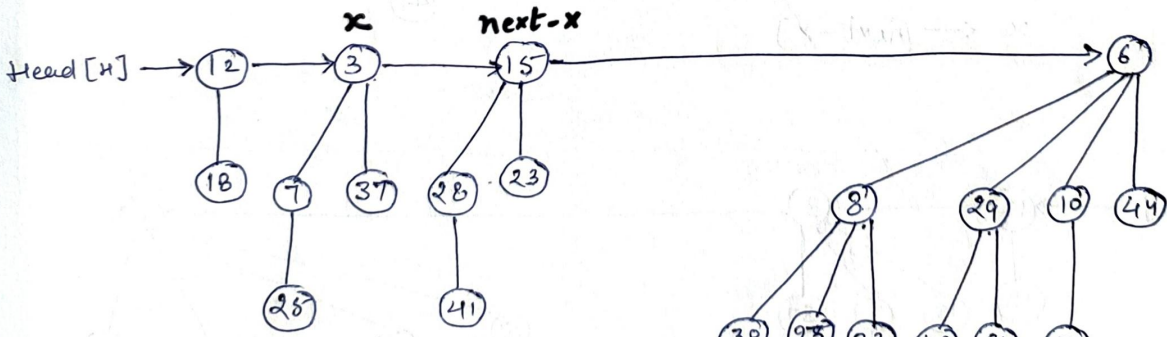
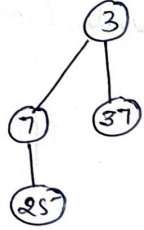


while next-x ≠ NIL
 3 ≠ NIL (false)
 if (1 ≠ 1) or
 ((15 ≠ NIL & 2 = 1) false)

else if 7 ≤ 3 (false)

else if prev-x = NIL (false)

else sibling [prev-x] ← next-x
 BINOMIAL LINK (7, 3)
 x ← next-x
 x ← 3

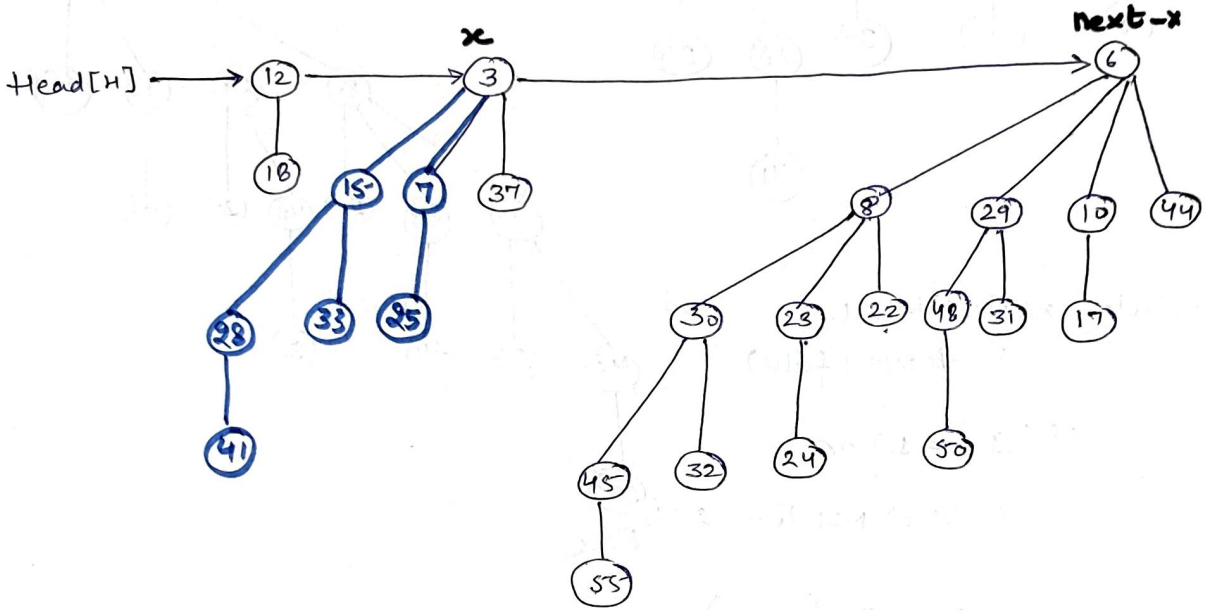


while next-x ≠ NIL (True)
 do if (1 ≠ 1) or
 ((6 ≠ NIL) and
 (7 = 3)) false.

else if 3 ≤ 15 (True)

Sibling [x] ← sibling [next-x]

BINOMIAL LINK (15, 3)



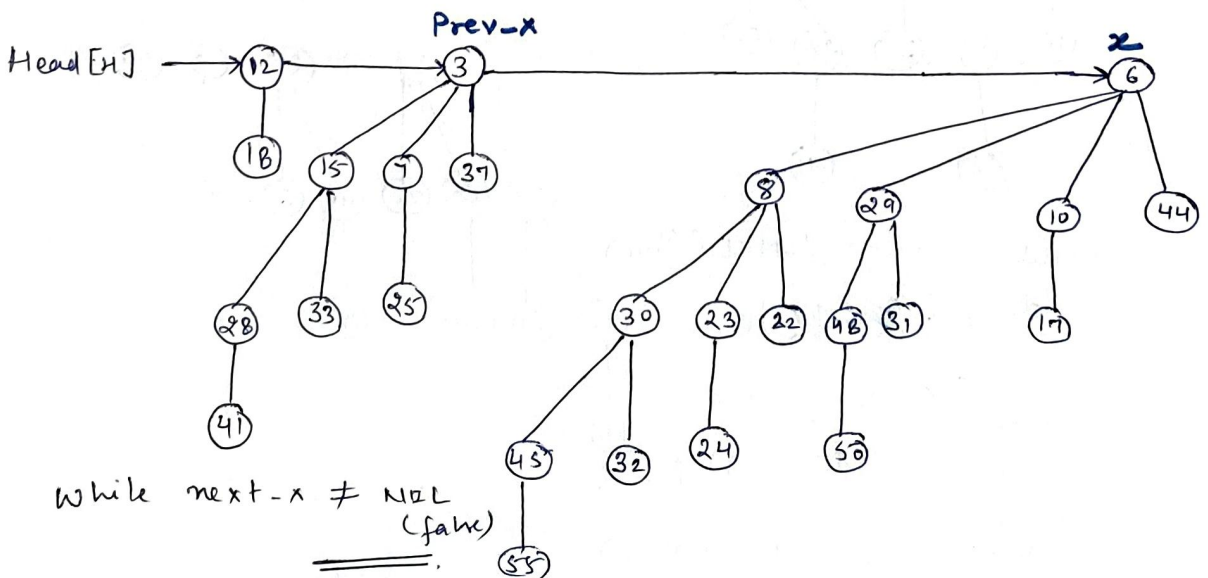
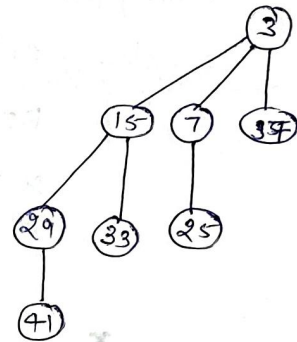
BINOMIAL LINK (^y15, ^z3)

while (next-x ≠ NIL)
6 ≠ NIL (True)

if (3 ≠ 4) True

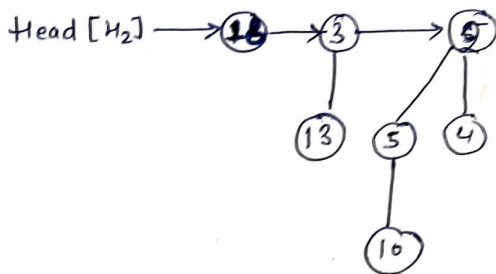
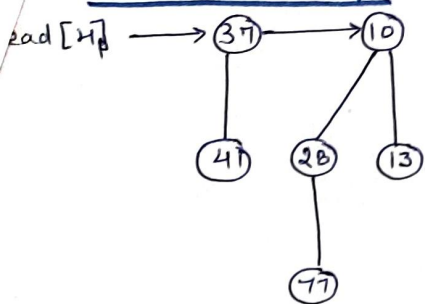
[prev-x] ← x

x ← [next-x]

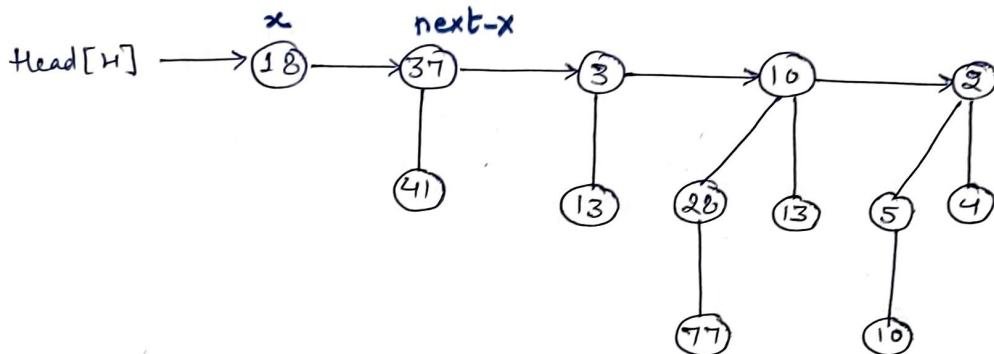


while next-x ≠ NIL
(false)

Another Example



Binomial Merge (H_1, H_2)



prev-x ← NIL

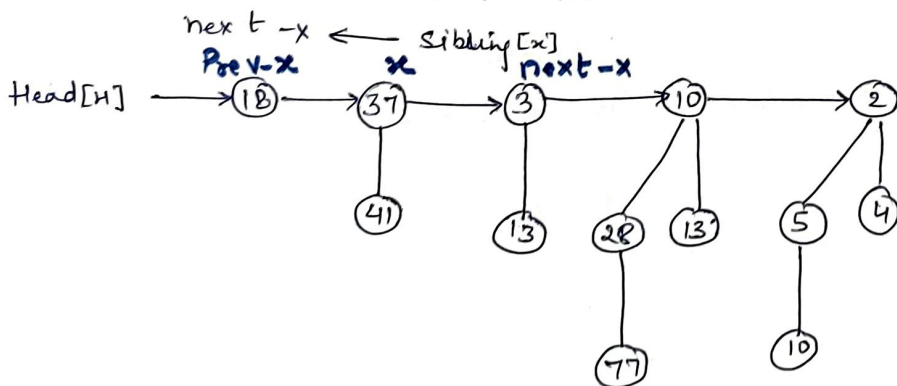
x ← head[H]

while 37 ≠ NIL (True)

if (0 ≠ 1) (True)

prev-x ← x

x ← next-x



while 3 ≠ NIL (True)

if ((1 ≠ 1) or (Sibling[next-x] ≠ NIL and 2 = 1)) false

else if 37 ≤ 3 (false)

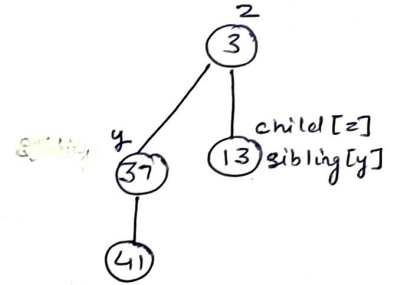
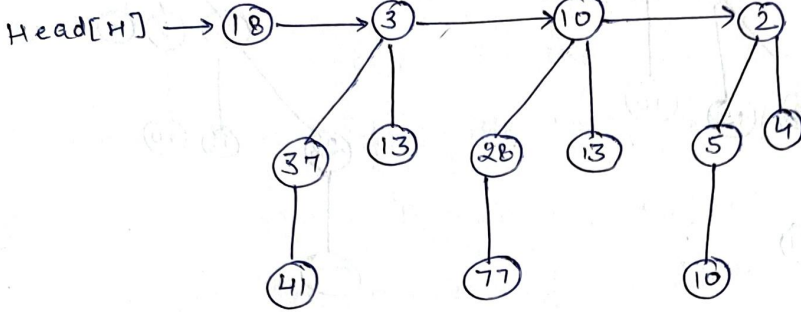
else if prev-x = NIL (false)

else

sibling[prev-x] ← next-x

BINOMIAL LINK(⁴₃₇, ²₃)

x ← next-x



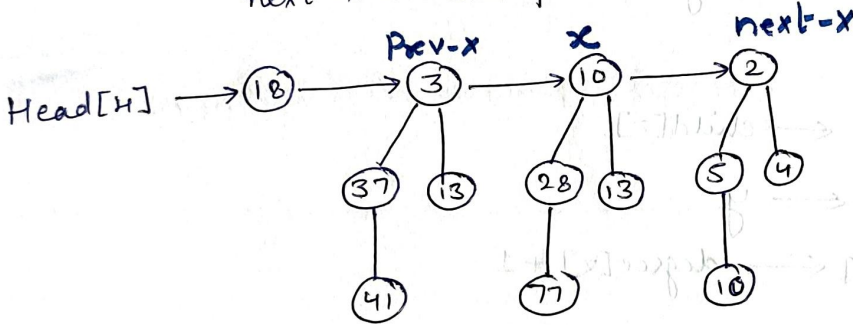
while 10 ≠ NIL (True)

if ((2 ≠ 2) or (2 ≠ NIL and 2 = 2)) (True)

prev-x ← x

x ← next-x

next-x ← sibling[x]



while 2 ≠ NIL (false)

if (2 ≠ 2 or nil = 2) false

else if 10 ≤ 2 (false)

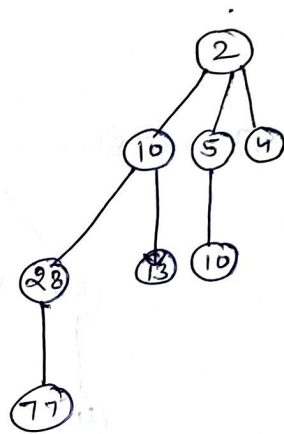
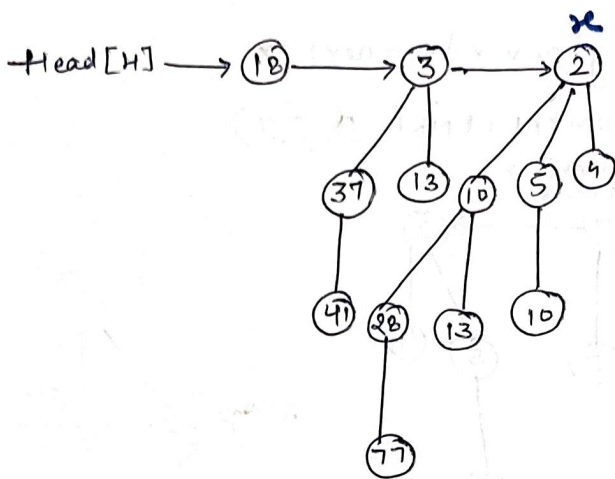
else if prev-x = NIL (false)

else sibling[prev-x] ← next-x

BINOMIAL LINK(²₁₀, ²₂)

x ← next-x

next-x ← nil



while nil ≠ nil (false)

Algorithm for BINOMIAL LINK

BINOMIAL-LINK(y, z)

1. $P[y] \leftarrow z$
2. $Sibling[y] \leftarrow child[z]$
3. $child[z] \leftarrow y$
4. $degree[z] \leftarrow degree[z] + 1$

Extracting
BINOMIAL

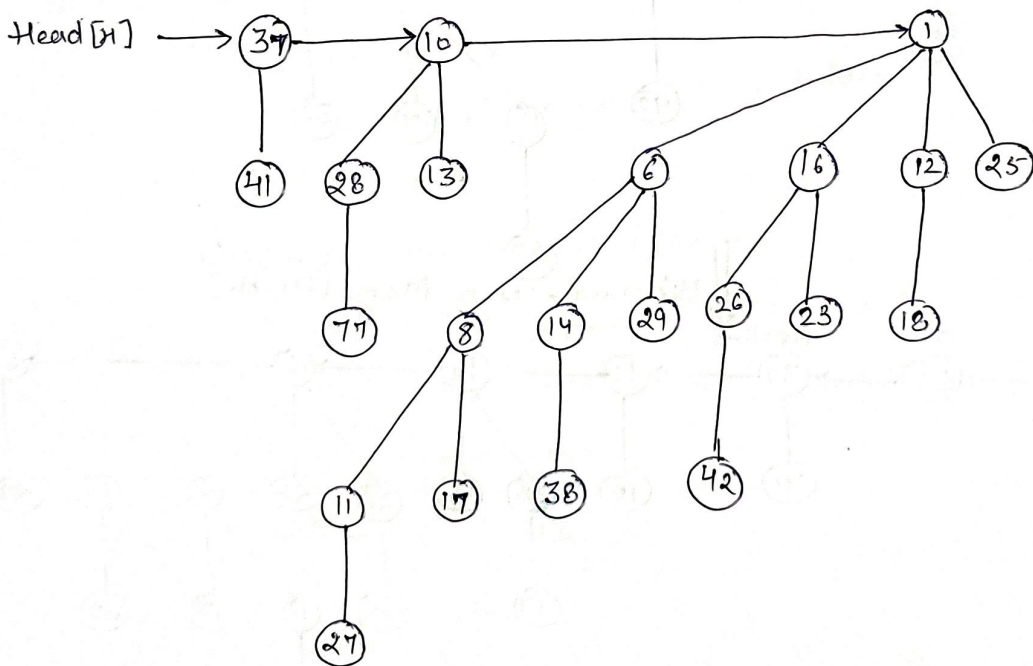
Extracting a Node with minimum key

BINOMIAL-HEAP-EXTRACT-MIN(H)

1. find the root x with the minimum key in the root list of H , and remove x from the root list of H
2. $H' \leftarrow \text{MAKE BINOMIAL HEAP}()$
3. reverse the order of the linked list of x 's children, and set $\text{head}[H']$ to point to the head of the resulting list
4. $H \leftarrow \text{BINOMIAL-HEAP-UNION}(H, H')$
5. return x

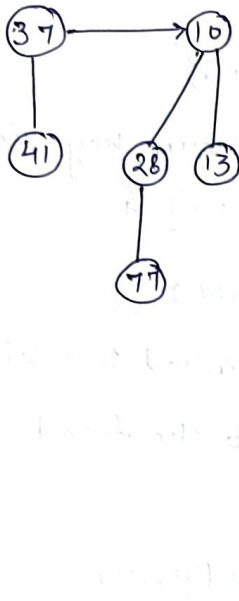
Example

Suppose a binomial heap is as follows



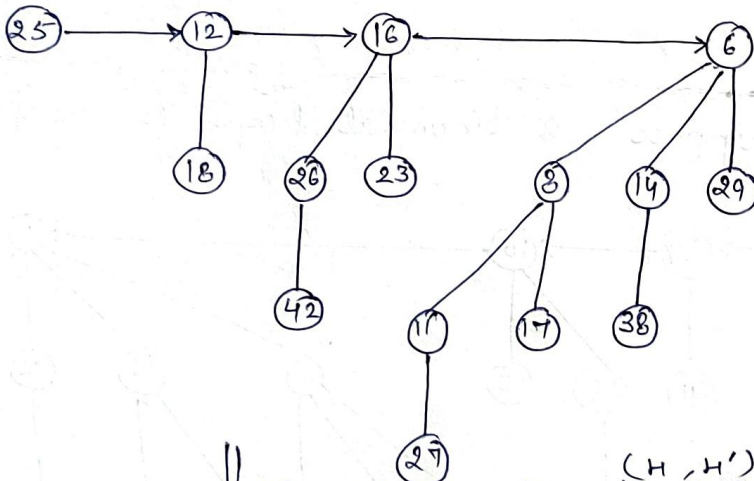
The root x with minimum key is 1. x is removed from INOMI root list of i.e.

Head[H] →



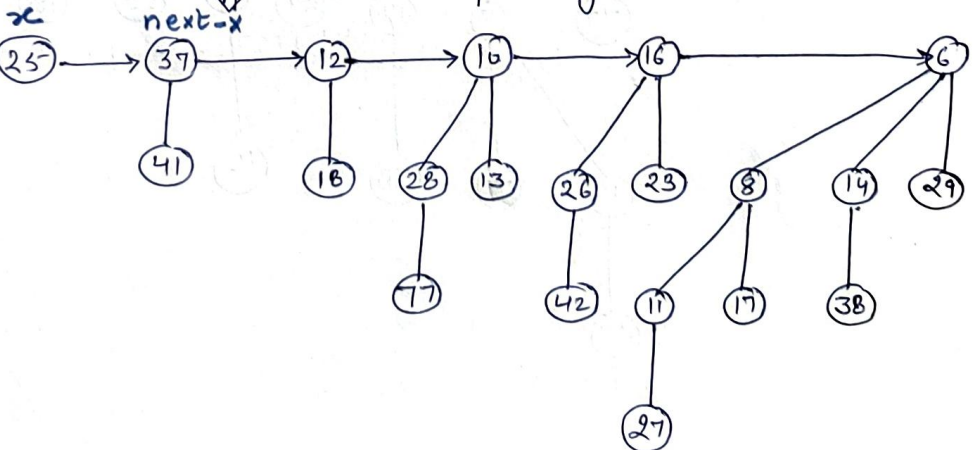
x
 prev- x ←
 x ← H
 next

Head[H'] →



Biomial-Heap-Merge (H_1, H_2)

Head[H] →



BINOMIAL - HEAP - UNION (H, H')

prev-x ← NIL

x ← Head[H]

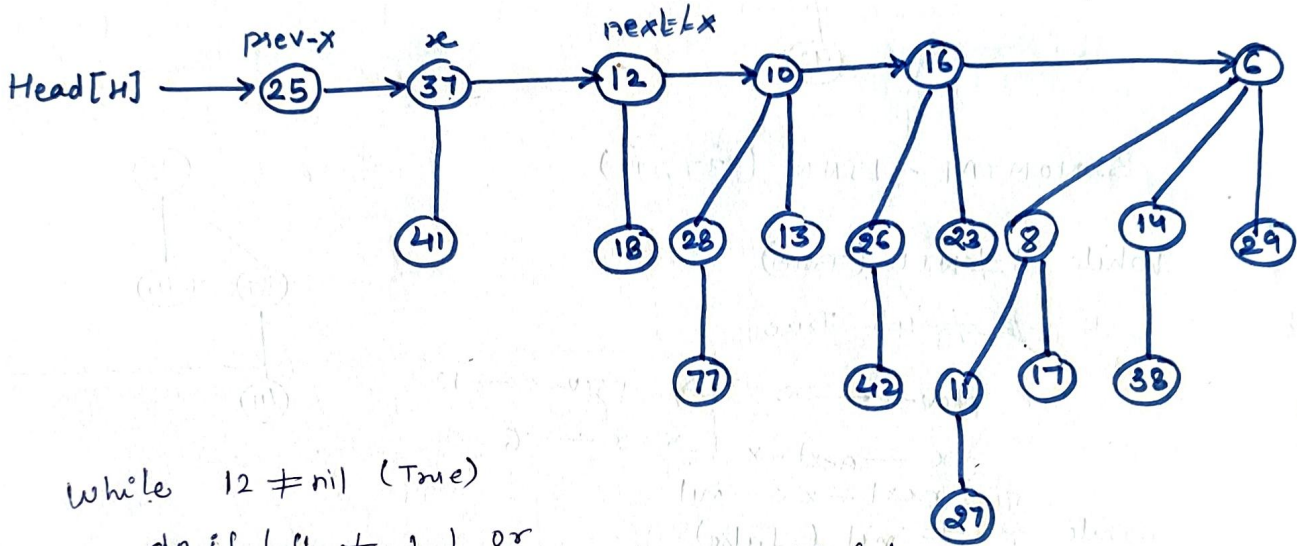
next-x ← sibling[x]

while 37 ≠ NIL (True)

if (0 ≠ 1) True.
degree[x] degree[next-x]

prev-x ← x

x ← next-x



while 12 ≠ nil (True)

do if (1 ≠ 1) or
 (10 ≠ nil and 2 = 1) false

else if (37 ≤ 12) false

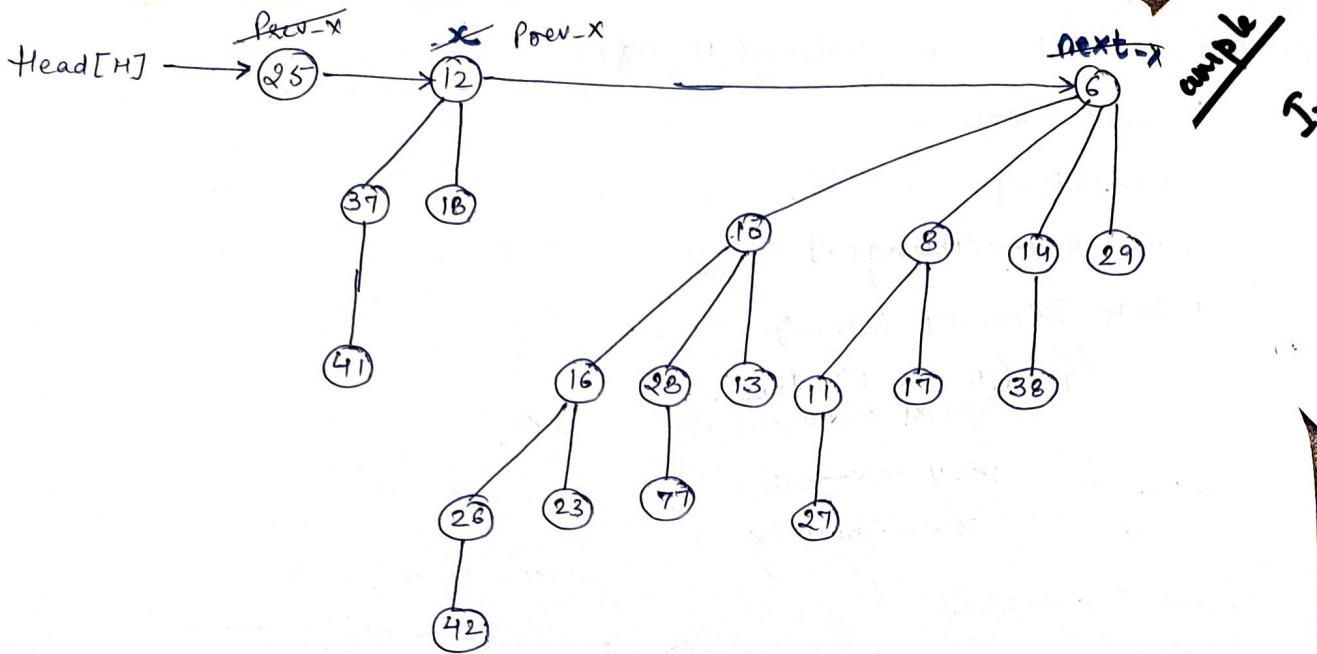
else if prev-x = NIL (false)

else sibling[prev-x] ← next-x

BINOMIAL LINK(x, next-x)

x ← next-x

next-x ← sibling[x]



BINOMIAL - LINK ($\overset{4}{37}, \overset{2}{12}$)

while $6 \neq \text{NIL}$ (True)

if ($2 \neq 4$) True

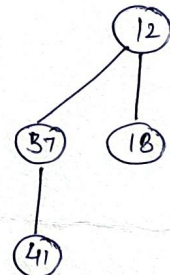
$\text{prev-x} \leftarrow x \Rightarrow \text{prev-x} \leftarrow 12$

$x \leftarrow \text{next-x} \Rightarrow x \leftarrow 6$

$\text{next-x} \leftarrow \text{nil}$

while $\text{nil} \neq \text{nil}$ (false)

return H



Inserting a Node in Binomial Heap

BINOMIAL - HEAP - INSERT(H, x)

1. $H' \leftarrow \text{MAKE-BINOMIAL-HEAP}(x)$
2. $p[x] \leftarrow \text{nil}$
3. $\text{child}[x] \leftarrow \text{nil}$
4. $\text{sibling}[x] \leftarrow 0$
5. $\text{degree}[x] \leftarrow 0$
6. $\text{Head}[H'] \leftarrow x$
7. $H \leftarrow \text{BINOMIAL-HEAP-UNION}(H, H')$

Example

$A = \{7, 2, 4, 17, 11, 6, 8\}$

Insert these keys into Binomial Heap.

Insert 7

BINOMIAL-HEAP-INSERT($H, 7$)

Head[H] \rightarrow nil
Head[H'] \rightarrow $\overset{x}{\textcircled{7}}$

1. $H' \leftarrow$ MAKE-BINOMIAL-HEAP(C)

$P[x] \leftarrow$ nil

$child[x] \leftarrow$ nil

$sibling[x] \leftarrow$ 0

$degree[x] \leftarrow$ 0

$Head[H'] \leftarrow x$

$H \leftarrow$ BINOMIAL-HEAP-UNION(H, H')

Head[H] \rightarrow $\textcircled{7}$

Insert 2

BINOMIAL-HEAP-INSERT($H, 2$)

BINOMIAL-HEAP-UNION(H, H')

Head[H'] \rightarrow $\textcircled{2}$

Head[H] \leftarrow $\textcircled{7}$

Head[H] \rightarrow $\overset{x}{\textcircled{7}} \xrightarrow{\text{next-}x} \textcircled{2}$ $\left\{ \text{Binomial-Heap-Merge}(H, H') \right.$

$prev-x \leftarrow$ nil

$x \leftarrow$ Head[H]

$next-x \leftarrow$ sibling[x]

while ($2 \neq$ NIL) True.

if ($0 \neq 0$) ~~or~~ ($(nil \neq nil)$) (False)
 $0 = 0$

elseif $7 \leq 2$ (false)

else if $prev-x =$ NIL (True)

Head[H] \leftarrow next- x

BINOMIAL LINK($\overset{1}{7}, \overset{2}{2}$)

$x \leftarrow$ ~~7~~ 2

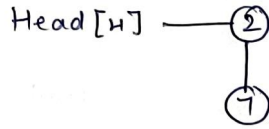
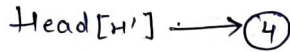
Head[H] \rightarrow $\overset{x}{\textcircled{2}}$
 $\textcircled{7}$

next- $x \leftarrow$ nil

while nil ≠ nil (false)

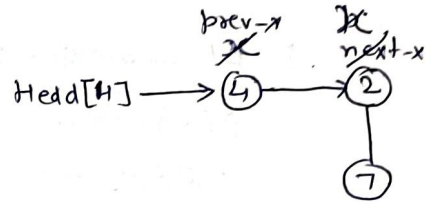
Head[...]

Insert 4



↓

BINOMIAL - MERGE (H, H')



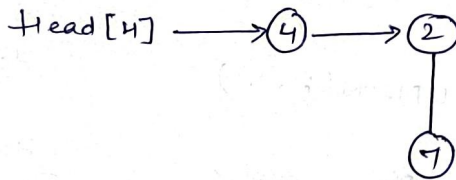
while 2 ≠ nil

do if (0 ≠ 1) True

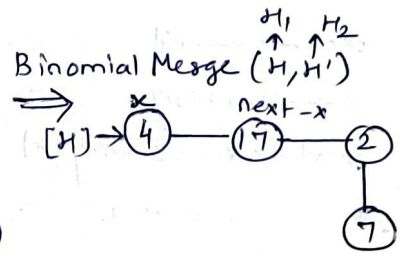
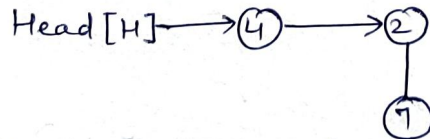
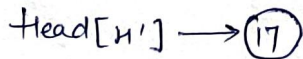
prev-x ← 2

x ← nil.

while nil ≠ nil (false)



Insert 17



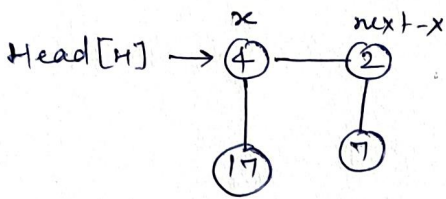
while 17 ≠ NIL (True)

if (0 ≠ 0) ~~and~~ ((2 ≠ NIL) and (1 = 0)) False

else if (4 ≤ 17) True

Sibling[x] ← Sibling[next-x]

BINOMIAL LINK (17, 4)
 4 2



BINOMIAL-LINK($17, 4$)



while $2 \neq nil$ (True)

if $((1 \neq 1) \text{ or } (nil \neq nil))$ false

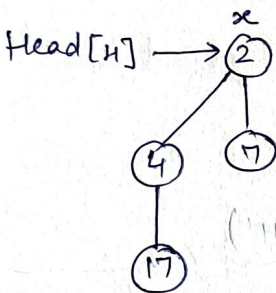
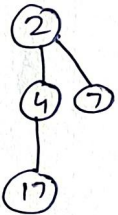
else if $4 \leq 2$ (False)

else if $prev-x = nil$ (True)

Head[H] ← $next-x$

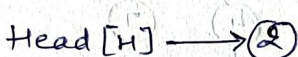
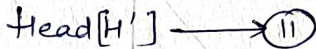
BINOMIAL LINK ($4, 2$)

$next-x \leftarrow nil$

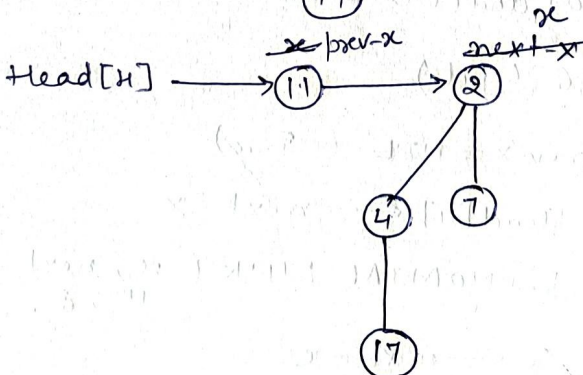
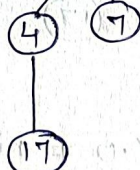


while $nil \neq nil$ (False)

Insert 11



Binomial Merge(H, H')



while $z \neq \text{nil}$ (True)

if ($0 \neq z$) True.

prev-x \leftarrow x

prev-x \leftarrow 11

x \leftarrow next-x

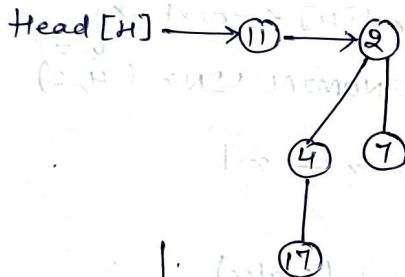
x \leftarrow 2

next-x \leftarrow nil

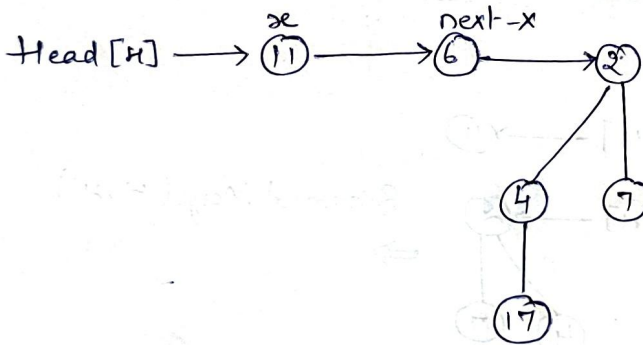
while nil \neq nil (false)

Insert 6

Head[H'] \rightarrow (6)



\downarrow BINOMIAL-MERGE (H, H')



while $6 \neq \text{nil}$ (True)

if ($(0 \neq 0)$ and $(0 \neq \text{nil})$ and $(2 = 0)$) (false)

else if $11 \leq 6$ (false)

elseif prev-x = NIL (True)

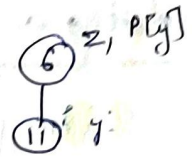
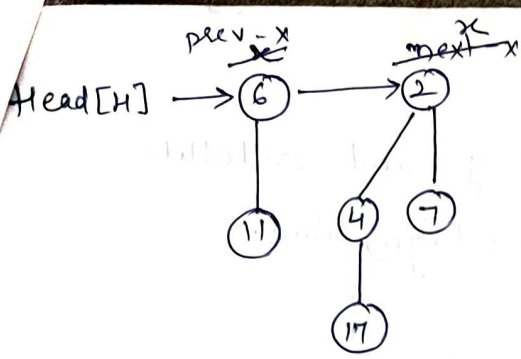
Head[H] \leftarrow next-x

BINOMIAL LINK (x, next-x)

x \leftarrow next-x

11, 6
4, 2

Head[H'] \rightarrow



while 2 ≠ nil

if (1 ≠ 2) True

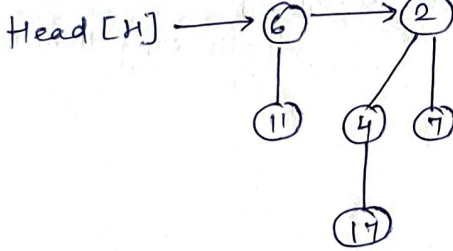
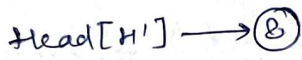
prev-x ← 6

x ← 2

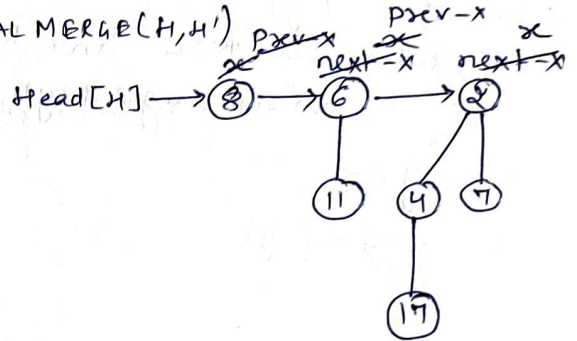
next-x ← nil

while nil ≠ nil (false)

Insert 8



BINOMIAL MERGE(H, H')



while 6 ≠ nil (True)

if (0 ≠ 1) (True)

prev-x ← x

prev-x ← 8

x ← 6

next-x ← 2

while 2 ≠ nil (True)

if (1 ≠ 2) True

prev-x ← 6

x ← 2

next-x ← nil

while nil ≠ nil

Deleting a key

iple
Head[H]

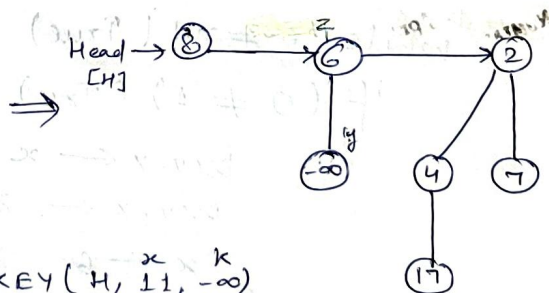
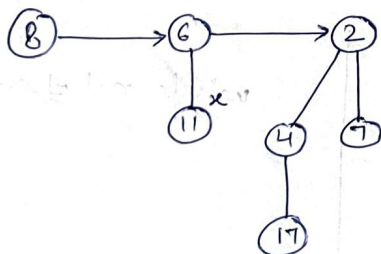
It is easy to delete a node x 's key and satellite information from binomial heap H is $O(\lg n)$ time.

BINOMIAL-HEAP-DELETE(H, x)

1. BINOMIAL-HEAP-DECREASE-KEY($H, x, -\infty$)
2. BINOMIAL-HEAP-EXTRACT-MIN(H)

BINOMIAL-HEAP-DECREASE-KEY(H, x, k)

1. if $k > \text{key}[x]$
2. then error "new key is greater than current key"
3. $\text{key}[x] \leftarrow k$
4. $y \leftarrow x$
5. $z \leftarrow p[y]$
6. while $z \neq \text{NIL}$ and $\text{key}[y] < \text{key}[z]$
7. do exchange $\text{key}[y] \leftrightarrow \text{key}[z]$ if y & z have other fields copy them too
8. $y \leftarrow z$
9. $z \leftarrow p[y]$

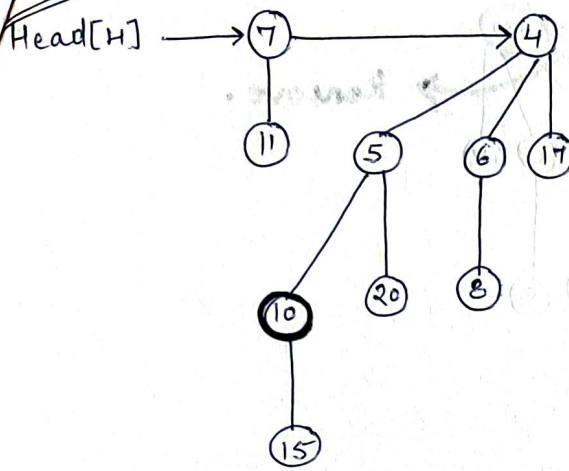


BINOMIAL-HEAP-DECREASE-KEY($H, 11, -\infty$)

1. If $-\infty > 11$ (False)
- $\text{key}[x] \leftarrow k$
 $y \leftarrow x$
 $z \leftarrow p[y]$



Example



BINOMIAL-HEAP-DELETE (H, 10)

1. BINOMIAL-HEAP-DECREASE-KEY (H, 10, $-\infty$)
2. BINOMIAL-HEAP-EXTRACT-MIN (H)

BINOMIAL-HEAP-DECREASE-KEY (H, 10, $-\infty$)

If $-\infty > 10$ (false)

key[x] $\leftarrow -\infty$

y $\leftarrow x$

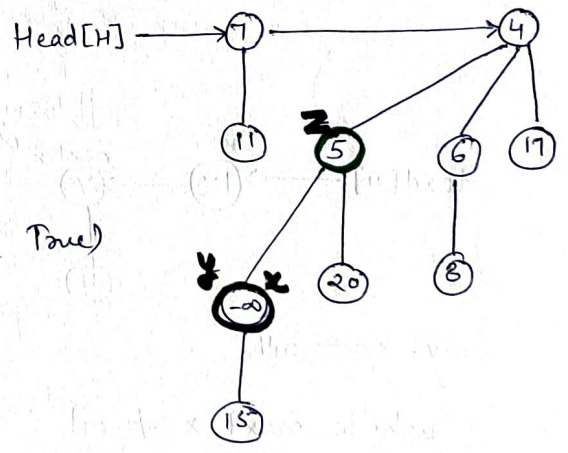
z $\leftarrow p[y]$

while z \neq NIL and $-\infty < 5$ (True)

key[y] \leftrightarrow key[z]

y \leftarrow z

z \leftarrow p[y]



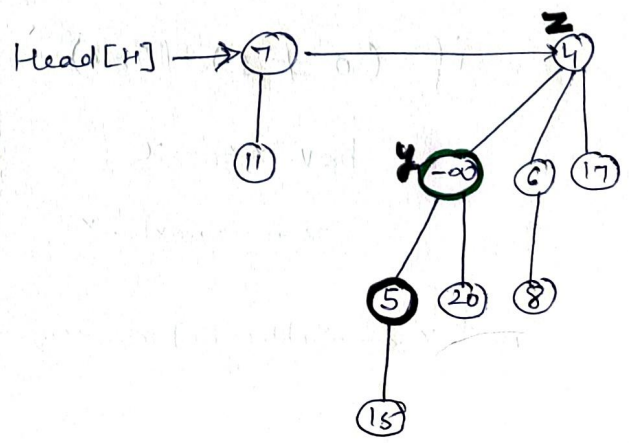
while z \neq NIL and

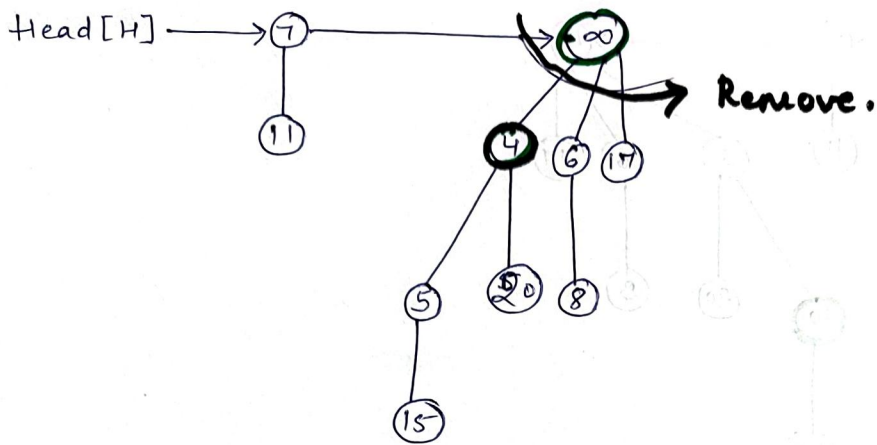
$-\infty < 4$ (True)

key[y] \leftrightarrow key[z]

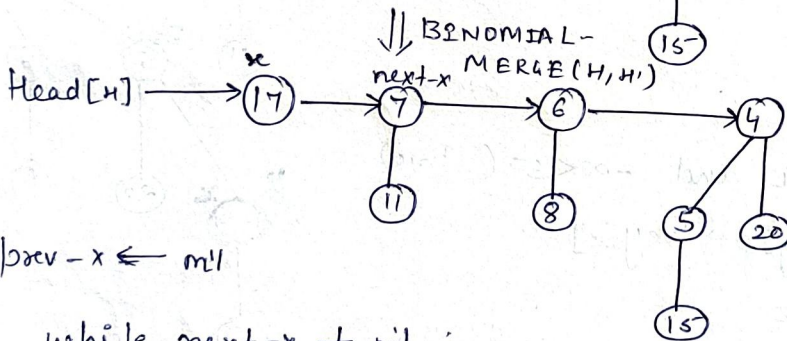
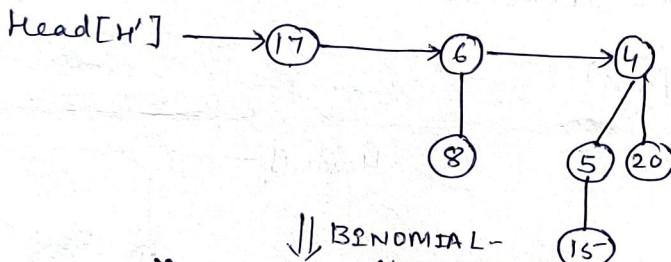
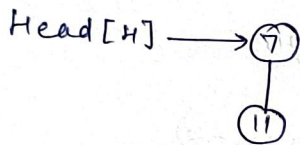
y \leftarrow z

z \leftarrow p[y]





BINOMIAL-HEAP - EXTRACT-MIN (H)



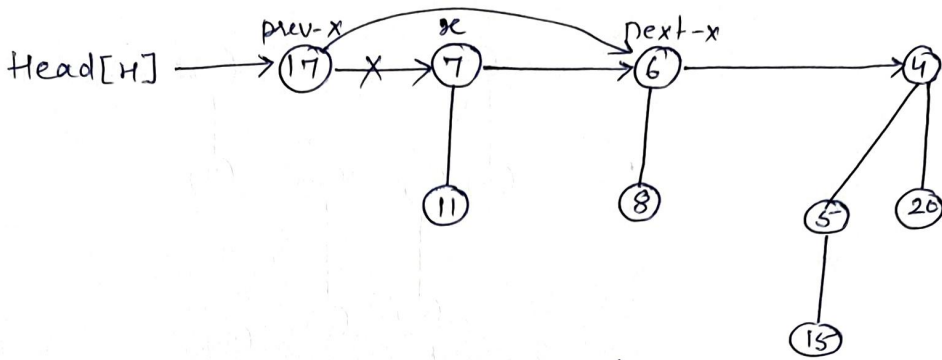
while next-x ≠ nil

if (0 ≠ 1) (True)

prev-x ← x

x ← next-x

next-x ← Sibling[x]



while next-x \neq nil (True)
 if (1 \neq 1) or (4 \neq nil and 2 = 1) (false)

else if 7 \leq 6 (false)

else if prev-x = nil (false)

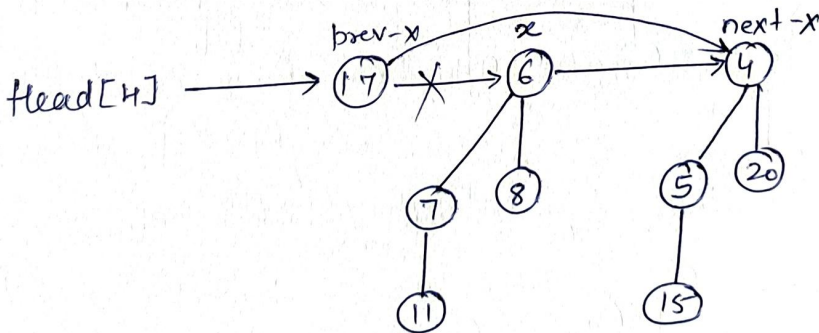
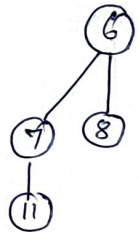
else

Sibling[prev-x] \leftarrow next-x

BINOMIAL LINK(x, next-x)

x \leftarrow next-x

next-x \leftarrow Sibling[x]



while 4 \neq nil (True)

if (2 \neq 2) or (nil \neq nil and) false.

else if 6 \leq 4 (false)

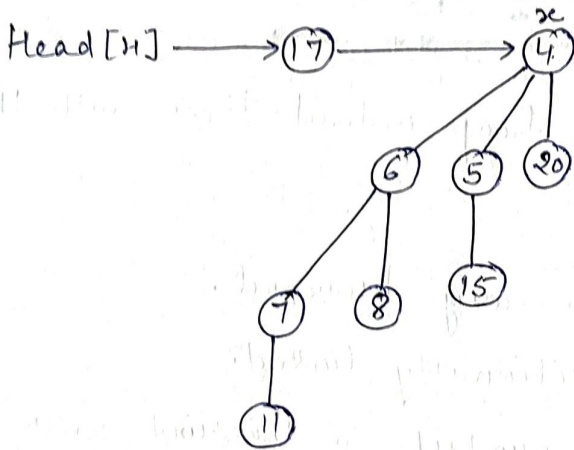
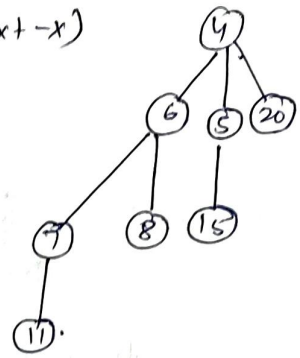
else if 17 \neq nil (false)

else Sibling[prev-x] \leftarrow next-x

BINOMIAL LINK (⁴x, ²next-x)

x ← next-x

next-x ← sibling[x]



while nil ≠ nil (false)

This is the final tree after Delete 10

Analysis of Binomial Heap Deletion

To delete a node x 's key and satellite information from binomial heap H the Binomial-Heap-Delete procedure takes $O(\lg n)$ time.

UNIT-2

CHAPTER-4

FIBONACCI HEAPS

Fibonacci Heaps are min-heap ordered trees with the following characteristics.

1. The trees are not necessarily binomial.
2. Siblings are bi-directionally linked.
3. There is a pointer $\text{min}[H]$ to the root with the minimum key.
4. The root degrees are not unique.
5. The special attribute $n[H]$ maintains the total number of nodes.
6. Each node has an additional Boolean label mark, indicating whether it has lost a child since the last time it was made a child of another node.

Structure of Fibonacci Heaps:-

1. Like a binomial heap, a Fibonacci heap is a collection of heap-ordered trees.

Unlike trees within binomial heaps, which are ordered, trees within Fibonacci heaps are rooted but unordered.